

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Leonardo LaRosa

Serial No.: 09/845,485

Filed: April 30, 2001

For: CONTAINER FOR STORAGE AND/OR SHIPMENT OF LIVE  
CRUSTACEANS



Group Art Unit: 3643

Examiner: R. Price

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231	
on <u>12/10/01</u>	<u>Judith K. Sherman</u>
Date	Signature
<u>Judith K. Sherman</u>	
Typed or printed name of person signing certificate	

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TRANSMITTAL OF SMALL ENTITY STATEMENT  
AND REQUEST FOR REIMBURSEMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Please file the enclosed Small Entity Statement in the above-identified patent application.

Applicant's Attorney respectfully requests a reimbursement of \$460.00, one-half of the extension fees submitted to the Patent Office on November 30, 2001 together with Amendment A, to be deposited in Deposit Account No. 08-0380. This request is made within the three-month period allowed for such reimbursement.

A copy of this letter is enclosed for accounting purposes.

01/15/2002 CVORACHA 00000046 080380 09845485  
01-FC:217 460.00 CR

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

Adjustment date: 03/26/2002 EEKUBAY1  
01/15/2002 CVORACHA 00000046 080380 09845485  
01-FC:217 460.00 CR

By David E. Brook

David E. Brook

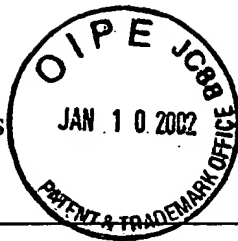
Registration No. 22,592

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Concord, MA 01742-9133  
Dated: December 10, 2001

STATEMENT CLAIMING SMALL ENTITY STATUS  
37 CFR 1.27(a)-SMALL BUSINESS CONCERN



DOCKET NUMBER: 1573.1002-007

Applicant or Patentee: Leonardo LaRosa

Application or Patent No.: 09/845,485

Filed or Issued: April 30, 2001

Title: CONTAINER FOR STORAGE AND/OR SHIPMENT OF LIVE CRUSTACEANS

I hereby state that I am

- ☐ the owner of the small business concern identified below:  
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF SMALL BUSINESS CONCERN Rose Seafood Industries, Inc.

ADDRESS OF SMALL BUSINESS CONCERN Post Office Box 2173

Woburn, Massachusetts 01888-0273

I hereby state that the above identified small business concern qualifies as a small business concern as defined in 13 CFR Part 121 for purposes of paying reduced fees to the United States Patent and Trademark Office. Questions related to size standards for a small business concern may be directed to: Small Business Administration, Size Standards Staff, 409 Third Street, SW, Washington, DC 20416 or you may call 202-205-6618.

I hereby state that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

- ☐ the specification filed herewith with title as listed above.  
☒ the application identified above.  
☐ the patent identified above.

If the rights held by the above identified small business concern are not exclusive, each person, concern or organization having rights in the invention must file separate statements as to their status as small entities. No rights to the invention are held by any person who would not qualify as a person under 37 CFR 1.27(a)(1), or by any concern which would not qualify as a small business concern under 37 CFR 1.27(a)(2), or a nonprofit organization under 37 CFR 1.27(a)(3).

Each person, concern or organization having any rights in the invention is listed below:

- ☒ no such person, concern, or organization exists.  
☐ each such person, concern, or organization is listed below.

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Separate statements are required from each named person, concern, or organization having rights to the invention stating their status as small entities.

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.27(g)(2))

NAME OF PERSON SIGNING Leonardo LaRosa

TITLE OF PERSON IF OTHER THAN OWNER President

ADDRESS OF PERSON SIGNING Rose Seafood Industries, Inc., P. O. Box 2173, Woburn, Massachusetts 01888-0273

SIGNATURE Leonardo LaRosa DATE 12/6/01

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